

Breach Register

Extract — FY2026 to date

Tenant	Northwind Fiduciary (Jersey) [SANDBOX]
Period	Q4 2026
Generated	Reproducible from live sandbox data
Classification	DEMONSTRATION — NOT FOR EXTERNAL CIRCULATION

This artefact is generated by the RegAlign® platform from a sandbox tenant (Northwind Fiduciary [SANDBOX]) populated with synthetic data for demonstration purposes. It is structurally identical to artefacts produced for live tenants.

Scope

The Breach Register records every actual or suspected breach of a regulatory requirement, internal policy, or contractual obligation. Entries are immutable in the audit chain; status transitions are appended, never overwritten.

Register

Ref	Opened	Category	Description	Severity	Notified	Status
BR-001	2026-02-14	Regulatory reporting	Annual return filed 1 day late following IT outage.	Low	JFSC 2026-02-15	Closed
BR-002	2026-06-08	Data protection	DSAR response provided on day 33 (30-day statutory window)	Low	Notified	Closed
BR-003	2026-08-22	AML systems	Sanctions list automation failed for 4h17m, manual screening used	Medium	JFSC 2026-08-22, OpenSAAC cleared	Open

Notification policy (extract)

- Any actual or suspected material regulatory breach — JFSC notified within 24 hours of identification (JFSC CoP TCB §8).
- Personal data breach with risk to data subjects — JOIC notified within 72 hours (Data Protection (Jersey) Law 2018).
- All breaches, irrespective of materiality, recorded in this register and reviewed monthly by ExCo.
- Trend analysis presented to the Board quarterly.

Integrity

Each row above corresponds to one or more entries in the platform's hash-chained audit trail. Status transitions (opened → notified → remediating → closed) are appended as separate audited events.